

# Roadmap to Private Investments

# ONTARIO



Total net worth of \$400,000  
or  
Individual income of \$75,000 or  
\$125,000 combined spousal income<sup>1</sup>

**ELIGIBLE INVESTOR**



## EXEMPT MARKET DEALER (EMD)

Can invest up to \$100,000 through an Offering Memorandum (OM) in a 12 month period provided positive suitability advice received from a portfolio manager, investment dealer or EMD



## DIRECT

Can invest up to \$30,000 directly in any 12 month period with an OM



## DIRECT OR EMD

Can invest a maximum of \$10,000 in a 12 month period with an OM

\$100,000

\$30,000

\$10,000

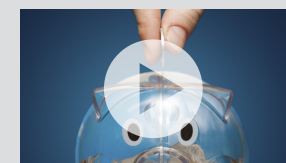
Access to a broad range of alternative investments



## ACCREDITED INVESTOR (No OM required)

Total net worth of \$5 million or Financial assets of \$1 million or Individual income greater than \$200,000 in past 2 years or household income greater than \$300,000<sup>2</sup>

For more information visit:  
[exempteducation.ca](http://exempteducation.ca)



NOTE: All trades sold through a registrant are subject to suitability, regardless of investor category. Twelve month investment limits for both non-eligible and eligible investors are in aggregate under the OM exemption, not on a per company/issuer basis.

1. Investor has a personal income of at least \$75,000, or combined income with their spouse of \$125,000 in the past 2 years, and reasonably expects to meet or exceed the applicable amount in the current calendar year.

2. Investor has a personal income of at least \$200,000, or combined income with their spouse of \$300,000 in the past 2 years, and reasonably expects to meet or exceed the applicable amount in the current calendar year.